



The 401K Advantage[®]

Step 1- The Feinman Group LLC

The Feinman Group is a broker in the Section 401K benefit marketplace, representing numerous financial providers.

Step 2- Review

With a review of your existing plan we will advise the group of any changes that may improve their existing plan.

Step 3- Design

Based on current plan assets and plan participation, design a plan that is not only financially competitive, but also provides the employees with top rated funds, state of the art web-site, home office administrative support, compliance reporting and other tools that enable the employee to make better financial decisions.

Step 4- Commitment

Once a start date is agreed upon all existing plan documents needs to be collected for the enrollment team at the financial institution providing the plan.

Step 5- Transition Timeline

Review the 2 phase process

Phase 1 Finalize Investments and Mapping, submit plan data to corporate office, and notify prior provider.

Phase 2 Introduce client implementation manager, finalize plan design, and send blackout notices.

Step 6- Enrollment

On a regular basis (monthly, quarterly, semi-annually) provide employee support for both existing employees and new employee entering the plan through employee meetings.

Step 7- Annual Reviews

At each anniversary have a plan review meeting for your HR administrator in order to review all aspects of the plan. (Fund changes, internet usage, phone usage, asset contribution, fund design, compliance testing, amendments)

Step 8- Employee Opportunity

Each employee will have the opportunity to meet with a Financial Advisor at their discretion and address his or her individual financial needs. There is no cost for this.